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July 23, 2004

Date

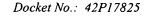
| TRANSMITTAL FORM | | Application No. | 10/814,218 | | | | | |
|---------------------------------------------------|---------------------------------|------------------------------|-----------------------------------------------|--------------------------------------------------------------------------|--|--|--|--|
| IRANS | SWIII IAL F | ORIVI | Filing Date | March 31, 2004 | | | | |
| (to be used for all | correspondence afte | er initial filing) | First Named Inventor | Randolph L. Campbell | | | | |
| | | | Art Unit | 2131 | | | | |
| | | | Examiner Name | | | | | |
| Total Number of F | Pages in This Submissi | on 12 | Attorney Docket Number | 42P17825 | | | | |
| ENCLOSURES (check all that apply) | | | | | | | | |
| Fee Transmittal | Form | Drawing(s) | | After Allowance Communication to Group | | | | |
| Fee Attac | hed | Licensing-r | elated Papers | Appeal Communication to Board of Appeals and Interferences | | | | |
| Amendment / Re | esponse | Petition | | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | | | | |
| After Fina Affidavits/ | ıl /declaration(s) | Petition to 0 Provisional | Convert a Application | Proprietary Information | | | | |
| Extension of Tim | ne Request | Power of A Change of | ttorney, Revocation Correspondence Address | Status Letter | | | | |
| Express Abando | onment Request | Terminal D | isclaimer | Other Enclosure(s) (please identify below): | | | | |
| Information Disc | closure Statement | Request for | Refund | Copies of references cited | | | | |
| PTO/SB/08 | | CD, Numbe | er of CD(s) | | | | | |
| Certified Copy of Priority Document(s) | | | | | | | | |
| Response to Missing Parts/ Incomplete Application | | Remarks | | | | | | |
| Basic Filing Fee | | Remarks | | | | | | |
| Declaration/POA | | | | | | | | |
| Response Parts und 1.52 or 1. | e to Missing er 37 CFR 53 | | | | | | | |
| | SIGNATURE | OF APPLICAN | IT, ATTORNEY, OR AG | ENT | | | | |
| Firm or | Eric T. King, Re | eg. No. 44,188 | | | | | | |
| Individual name | BLAKELY, S | OKOLOFF, 1 | TAYLOR & ZAFMA | AN LLP | | | | |
| Signature | Signature The Signature | | | | | | | |
| Date | Date July 23, 2004 | | | | | | | |
| | CERTIFI | CATE OF MAIL | ING/TRANSMISSION | | | | | |
| | | | | ervice on the date shown below with ts, P.O. Box 1450, Alexandria, VA | | | | |
| | me Nicole Erqui | aga | | | | | | |

Based on PTO/SB/21 (04-04) as modified by Blakely, Solokoff, Taylor & Jafman (wlr) 06/04/2004. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Wa 22313-1450

Signature

| JUL 21 2004 E | | | , | | |
|-----------------------------------------------|--------------------------------------------------------|----------------------|----------------------|--|--|
| FEE TRANSM | IITTAI | · | Complete if Known | | |
| TEE I RANSIV | IIIIAL | Application Number | 10/814,218 | | |
| for FY 20 | 04 | Filing Date | March 31, 2004 | | |
| Effective 01/01/2004. Patent fees are subject | ct to annual revision. | First Named Inventor | Randolph L. Campbell | | |
| Applicant claims small entity status. | Applicant claims small entity status. See 37 CFR 1.27. | | | | |
| | | Art Unit | 2131 | | |
| TOTAL AMOUNT OF PAYMENT | (\$) | Attorney Docket No. | 42p17825 | | |

| METHOD OF PAYMENT (check all that apply) | | | FEE CALCULATION (continued) | | | | |
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| | 3. | ADI | DITIO | NAI | | | |
| ☐ Check ☐ Credit card ☐ Money ☐ Other ☐ Nor | ne | | | | | | |
| Deposit Account | _ I — | Large E | | | II Entity | <u>'</u> | |
| Deposit | | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description Fe | e Paid |
| Account Number | 1 | 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| Deposit | | 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or | |
| Account Name Blakely, Sokoloff, Taylor & Zafman LLP | | | | | | cover sheet. | |
| The Commissioner is authorized to: (check all that apply) | | 2053 1812 2 | 130 2,520 | 2053 1812 | 130 2,520 | Non-English specification For filing a request for ex parte reexamination | |
| l_ | | 1804 | 920* | 1804 | | * Requesting publication of SIR prior to | |
| Champ any additional foo(s) or undamagnest of foos as required under 37 | | | | | | Examiner action | |
| CFR §§ 1.16, 1.17, 1.18 and 1.20. | 1 | 1805 1 | 1,840* | 1805 | 1,840 * | * Requesting publication of SIR after Examiner action | |
| Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account | | 1054 | 110 | 2251 | 55 | Extension for reply within first month | |
| FEE CALCULATION | | 1251 1252 | 420 | 2252 | | Extension for reply within second month | |
| | | 1252 | 950 | 2252 | | Extension for reply within third month | |
| 1. BASIC FILING FEE Largue Entity Small Entity | | | 1,480 | 2254 | | Extension for reply within fourth month | |
| Fee Fee Fee Fee Description Fee Pa | | | 2.010 | 2255 | 1,005 | Extension for reply within fifth month | |
| Code (\$) Code (\$) | | 1404 | 330 | 2401 | 165 | Notice of Appeal | |
| 1001 770 2001 385 Utility filing fee | - 11 | 402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| 1002 340 2002 170 Design filing fee | - 11 | 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| 1003 530 2003 265 Plant filing fee | - 11 | | 1,510 | 2451 | 1,510 | Petition to institute a public use proceeding | |
| 1004 770 2004 385 Reissue filing fee 1005 160 2005 80 Provisional filing fee | | 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| | I | | 1,330 | 2453 | 665 | Petition to revive - unintentional | |
| SUBTOTAL (1) (\$) | - 11 | | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| 2. EXTRA CLAIM FEES Extra Fee from | _ | 502 | 480 | 2502 | 240 | Design issue fee | |
| Claims below Fee Pai | id 1 | 503 | 640 | 2503 | 320 | Plant issue fee | |
| Total Claims . 20° = X | 1. | 460 | 130 | 2460 | 130 | Petitions to the Commissioner | |
| Independent 3 = X = | | 807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| Multiple Dependent = | | 806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| Large Entity Small Entity | 80 | 1021 | 40 | 8021 | 40 | Recording each patent assignment per | |
| Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$) | | | ŀ | | | property (times number of properties) | |
| | 18 | 809 | 770 | 1809 | | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3 | 18 | 810 | 770 | 2810 | 385 | For each additional invention to be | |
| 1203 290 2203 145 Multiple Dependent claim, if not paid | | | | | | examined (37 CFR § 1.129(b)) | |
| 1204 86 2204 43 **Reissue independent claims over original | 18 | 801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | |
| patent | 18 | 802 | 900 | 1802 | | Request for expedited examination of a design application | |
| 1205 18 2205 9 **Reissue claims in excess of 20 and over original patent | Oth | ner fee (s | specify) | | | or a design approach | |
| | ٦ | | | | | | |
| SUBTOTAL (2) (\$) | _ r _{Rec} | duced by E | Basic Filing | Fee Paid | ı | SUBTOTAL (3) (\$) | |
| **or number previously paid, if greater, For Reissues, see below | | | | | | | |
| SUBMITTED BY | | | | | | Complete (if applicable) | \supset |
| Manual Indiana de la Contra de | | I Regi. | istratio | n No. | Ι. | 14400 T. Harris (714) 557 20 | $\Delta \Delta$ |



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

RANDOLPH L. CAMPBELL, ET AL.

Application No.: 10/814,218

Filed: March 31, 2004

For: SECURE VIRTUAL MACHINE

MONITOR TO TEAR DOWN A SECURE

EXECUTION ENVIRONMENT

Art Group: 2131

Examiner:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: July 23, 2004

Erfe T. King, Reg. No. 44,188

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (714) 557-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Nicole Erquiaga

07-23-04

Date

PTO/SB/08A(10-01)
Approved for use through 103/12/002. OMB 651-0031
US Patient & Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO Complete if Known INFORMATION DISCLOSURE 10/814,218 **Application Number** STATEMENT BY APPLICANT March 31, 2004 (Use as many sheets as necessary) **Filing Date** Campbell, Randolph **First Named Inventor Group Art Unit** 2131 JUL 2 7 2004 **Examiner Name** Unknown S TRAD Attorney Docket No: 42P17825 Sheet 1 of 1

| | US PATENT DOCUMENTS | | | | | | | | |
|--------------------|------------------------|------------------|----------------------------------------------------|-------|----------|-------------------------------|--|--|--|
| Examiner Initial * | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Class | Subclass | Filing Date If Appropriate | | | |
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| | US-6,496,847 | 12/17/2002 | Bugnion, Edouard , et al. | | | 09/10/1998 | | | |
| | US-6,704,925 | 03/09/2004 | Bugnion, Edouard | | | 12/01/1998 | | | |

| | OTHE | R DOCUMENTS NON PATENT LITERATURE DOCUMENTS | | |
|-----------------------|-------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|--|
| Examiner Initials* | Cite No ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s publisher, city and/or country where published. | | |
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EXAMINER